

**American Immunization Registry Association (AIRA)**  
**IIS Joint Development and Implementation (JDI) Advisory Workgroup**  
**Governance Framework**  
**April 1, 2016**

**Introduction**

Through a cooperative agreement with the Centers for Disease Control and Prevention (CDC), AIRA is leading an effort to establish a governance process for Immunization Information Systems (IIS) joint development and implementation (JD&I) projects. ***The central challenge of the work is to leverage and share resources to improve the consistency, quality, and/or cost effectiveness of IIS implementation projects.*** A proposed governance approach (described below) is being developed by the AIRA IIS JDI Advisory Workgroup.

**Assumptions about IIS JDI projects**

A definition of joint development and implementation has been drafted by the Advisory Workgroup – *“Joint Development and Implementation is any collaborative development or coordinated implementation of standards, business requirements, functional or system requirements, design specifications, or production of actual software tools or applications by two or more IIS/Awardees. Work under this initiative will extend to knowledge-sharing, joint decision-making, planning, and prioritization.”*

What should be the aim of IIS JDI projects? The JDI Advisory Workgroup identified the potential value of IIS JDI projects:

- Should create opportunities for “early adopter” engagement by multiple stakeholders (perhaps in a beta test environment)
- Should offer something that addresses an unmet need or underutilized solution
- Be evaluated for efficiency and effectiveness
- Be identified as “best practices” when appropriate
- Quickly develop associated standards of use and reusability when appropriate
- Be promoted through multiple channels for widespread adoption
- IIS joint development and implementation represents the forefront of change and improvement in public health system solutions and interoperability

**Assumptions about governance of IIS JDI projects**

The aim of a governance process is identified in the central challenge above – to leverage and share resources to improve the consistency, quality, and/or cost effectiveness of IIS implementation projects.

An IIS JDI governance process addresses widespread utility and adoption of JDI solutions in order to reduce duplication of effort and to increase cross-system effectiveness in multiple environments. A focus on IIS JDI governance should:

- Establish workable strategies and processes that can be applied to other joint IIS efforts
- Leverage joint efforts to help IIS get to implementation faster, more efficiently and/or more consistently
- Continue to explore new funding models and ways to collectively leverage existing resources
- Demonstrate, celebrate, and promote progress and successes

- Model collaborative behavior and share knowledge with others
- Actively identify other opportunities that may benefit from applying a JDI/shared services model
- Include a multi-level approach to evaluation consistent with AIRA's overall approach to project evaluation:
  - At the JDI workgroup level, evaluation of progress should be monitored according to completion of activities outlined the JDI Strategy map.
  - At the activity level, evaluation plans should be established at the outset of activities and progress should be reported on at least a quarterly basis

Short term IIS JDI governance activities may include:

- Adoption of new projects and determining what that process will be
- Creating scoring criteria for longer term evaluation of projects and establishing a threshold for what determines a viable project (See current project selection criteria listed in attachment A below)
- Maximizing use and uptake of existing guidance
- Using the AIRA IIS Repository as a staging ground for new projects
- Aligning projects with technical architectures for delivering services and solutions

Longer term IIS JDI governance activities may include:

- Addressing contracting, purchasing, immunization laws, and related issues affecting user jurisdictions
- Developing policies and procedures that support vendor engagement on common solutions
- Developing communication/messaging to state and local government (and other) information technology communities
- Developing a sustainability plan
- Defining rules for adoption and deployment of third party products and services
- Considering and endorsing other short and long term solutions, such as, subject matter decisions, technical decisions, policy and procedure decisions, and authority/access management decisions

Future opportunities for AIRA IIS JDI governance that intersect with external entities may include:

- Developing recommendations on emerging IIS policy options (e.g., CDC Service Center model)
- Defining and clarifying a broader layered decision-making approach that strikes a balance among local state, and federal jurisdictions, and sets clear boundaries for the types of decisions needed

### **AIRA IIS JDI governance approach**

The AIRA IIS JDI Advisory Workgroup will implement a governance approach (outlined below) and submit recommendations for approval and action to the AIRA Board.

- The AIRA IIS JDI Advisory Workgroup will coordinate with and/or oversee select IIS JDI projects, using the AIRA IIS JDI strategy map as a guide to accomplish its scope of work over the next three years (2015-2017).
- The Advisory Workgroup will nominate and select two co-chairs to serve one year renewable terms. The Co-Chairs will work with AIRA staff on establishing a calendar of activities, develop

meeting agendas, chair meetings, hold votes on advisory committee motions, decisions and recommendations, identify subcommittee or work group members and assignments, and identify a workgroup member to serve as a liaison to the AIRA Board. Co-chairs will be selected through gathering nominations and self-nominations, followed by a vote of the full Advisory Workgroup. Nominations and voting will be managed by the Governance Committee in conjunction with AIRA staff support. At least one co-chair must represent a state or local program.

- A quorum is defined as 66% of Workgroup members present on conference calls or at meetings. (Please note: ex officio members do not count toward a quorum, but are eligible to vote, unless explicitly stated as non-voting members.)
- The IIS community supports consensus whenever possible, as operationalized through the often-referenced MIROW concept of “I can live with and support” a given decision. This consensus will be the goal in decision-making, and will be considered a unanimous vote, assuming quorum is reached. However, to finalize a decision where consensus is not feasible, each Workgroup member will have one vote, including ex officio members; in these instances, a simple majority vote of members present, via voice – in person or over the phone –, or via email will suffice to take motions, decisions and recommendations to the AIRA Board.
- Membership on the Advisory Workgroup is intended to reflect the roles and responsibilities of entities engaged in IIS JDI projects including IIS managers, Immunization Program Managers, Vendors, and CDC. Membership also includes ex officio members representing AIRA, PHII, and consultants (See list of current members in attachment B below). Although specific members will vary, the following roles shall be included to ensure broad representation across the IIS community:
  - Awardee-Developed Program Representatives (2)
  - Envision Program Representatives (2)
  - Envision Vendor Representative (1)
  - HLN Vendor Representative (1)
  - HP Vendor Representative (1)
  - STC Program Representatives (2)
  - STC Vendor Representative (1)
  - WIR Program Representatives (2)
  - CDC Representative (1)
  - Additional voting or ex officio members as determined by the AIRA Board

**Attachments:**

- A. JDI Advisory Workgroup project selection criteria**
- B. JDI Advisory Workgroup Membership**
- C. AIRA IIS JDI Strategy Map**

Reviewer's Name: \_\_\_\_\_

Candidate Project: \_\_\_\_\_

## Scorecard: Evaluation Criteria for Selection of a Joint Development and Implementation Project

April 1, 2016

Context: These criteria will be used to rate candidate projects for their suitability as a joint development and implementation project. Some of the criteria are mutually exclusive, so it is not anticipated that any single project will meet all of these criteria. Similarly, some criteria are aspirational, so projections will need to be made based on the perceived likelihood of an event occurring. These criteria focus on initial project selection; potential participating parties will need to do a secondary level of evaluation to determine benefits and risks prior to participation. Suggested evaluation criteria for potential participating parties can be found at the end of this scorecard.

Criterion	Origins	Core/ Secondary	Response	Scoring Instructions	Score	% of Total
The proposed project is seen as a priority by more than one IIS.	2014 JD Guidance	Core	<input type="checkbox"/> Yes <input type="checkbox"/> No	Non-scoring. If the response is no, the project does not qualify as a joint development project.	0	N/A
The initial project risks are identified and assessed to be manageable. These could include financial, legal, contractual or other risks.	2014 JD Guidance (modified)	Core	<input type="checkbox"/> Yes <input type="checkbox"/> No	Non-scoring. If the response is no, the project does not qualify as a joint development project.	0	N/A
The expectations for participation throughout the project lifecycle can be documented.	2015 JDI Advisory Workgroup	Core	<input type="checkbox"/> Yes <input type="checkbox"/> No	Non-scoring. If the response is no, the project does not qualify as a joint development project.	0	N/A
The project addresses an Immunization Program priority, an IIS priority, or both.	2015 JDI Advisory Workgroup	Secondary	<input type="checkbox"/> Immunization Program Priority <input type="checkbox"/> IIS Priority	Assign one point for each	___/2	10%
The proposed project has the potential to be implemented broadly.	2015 JDI Advisory Workgroup	Secondary	<input type="checkbox"/> Could cross 3 or more IIS implementations <input type="checkbox"/> Could cross multiple IIS platforms/systems <input type="checkbox"/> Could be implemented by all IIS	Assign one point for each	___/3	15%
Benefits to development time, cost, or quality are anticipated.	2014 JD Guidance (modified)	Secondary	<input type="checkbox"/> Development time is anticipated to be shortened through a JDI project <input type="checkbox"/> Development cost is anticipated to be decreased through a JDI project <input type="checkbox"/> Quality of output is anticipated to be more comprehensive through a JDI project	Assign one point for each	___/3	15%

Benefits to implementation time, cost, or consistency are anticipated.	2014 JD Guidance (modified)	Secondary	<input type="checkbox"/> Implementation time is anticipated to be shortened through a JDI project <input type="checkbox"/> Implementation cost is anticipated to be decreased through a JDI project <input type="checkbox"/> Consistency of implementation is anticipated to be increased through a JDI project	Assign one point for each	___/3	15%
Relevant standards or other guidance exists to support the project.	2014 JD Guidance (modified)	Secondary	<input type="checkbox"/> Yes <input type="checkbox"/> Partial <input type="checkbox"/> No	Assign two points for yes Assign one point for partial	___/2	10%
The project addresses a funded goal, objective, or activity.	2015 JDI Advisory Workgroup	Secondary	<input type="checkbox"/> Yes <input type="checkbox"/> No	Assign one point for yes	___/1	5%
The project supports achievement of a Programmatic Goal, Functional Standard, or operational guidance statement.	2015 JDI Advisory Workgroup	Secondary	<input type="checkbox"/> Yes <input type="checkbox"/> No	Assign one point for yes	___/1	5%
The project is anticipated to provide greater compatibility across programs/organizations.	2014 JD Guidance (modified)	Secondary	<input type="checkbox"/> Greater compatibility across IIS <input type="checkbox"/> Greater compatibility between EHRs and IIS	Assign one point for each	___/2	10%
It is anticipated that the project will add to the IIS knowledgebase, community stability and/or continuity.	2014 JD Guidance	Secondary	<input type="checkbox"/> Yes <input type="checkbox"/> No	Assign one point for yes	___/1	5%
Testing tools and/or test data are planned to be created or leveraged as part of the project.	2014 JD Guidance (modified)	Secondary	<input type="checkbox"/> Yes <input type="checkbox"/> No	Assign one point for yes	___/1	5%
The product from the project will be available to some or all IIS projects who did not participate in the initial development for implementation at a later time.	2015 JDI Advisory Workgroup	Secondary	<input type="checkbox"/> Yes <input type="checkbox"/> No	Assign one point for yes	___/1	5%
Total Score					___/20	___%

Evaluation criteria for potential participating parties may include:

- The timeline for availability of required functionality, if relevant, is acceptable to all project participating parties.
- All project stakeholders are fully committed to supporting the joint development project lifecycle.
- Once implemented, contractual or service level agreement changes for ongoing support of the IIS are assessed to be reasonable.
- Post-implementation, the project has an approved support plan to maintain the functionality as applicable.

## **Member List**

### **IIS Joint Development & Implementation Advisory Workgroup**

**Current May 7, 2016**

#### **Awardee Developed Program Representatives**

Bhavani Sathya, IIS Coordinator, State of New Jersey Immunization Program

Martie Sulak, Project Manager, Florida Shots, State of Florida Immunization Section

#### **Envision Program Representatives**

Brittany Ersery, IIS Manager, State of Kansas Immunization Program

Mandy Harris, IIS Manager, State of Nevada Immunization Program

#### **Envision Vendor Representative**

Steve Murchie, CEO, Envision Technology Partners (Jim Holsinger sub)

#### **HLN Vendor Representative**

Noam Arzt, President, HLN Consulting, LLC

#### **HP Vendor Representative**

Gary Wheeler, IIS Portfolio Executive, HP Enterprise Services

#### **STC Program Representatives**

Gerri Yett, Program Manager, State of Alaska Immunization Program

Belinda Baker, IIS Manager, State of Washington Immunization Program (and Board Rep.)

#### **STC Vendor Representative**

Judy Merritt, Account Executive, Scientific Technologies Corporation

#### **WIR Program Representatives**

Erin Roche, IIS Manager, State of Minnesota Immunization Program (Aaron Bieringer sub)

Michael Flynn, IIS Technical Lead, State of New York Immunization Program

#### **CDC Representative**

Warren Williams, Health Scientist, Acting Branch Chief, IIS Support Branch, CDC

#### **Ex-Officio Members**

Bill Brand, Director of Informatics Science, Public Health Informatics Institute (PHII)

Therese Hoyle, Public Health Consultant, State of Michigan

#### **AIRA Staff (non-voting)**

Alison Chi, Program Director, AIRA

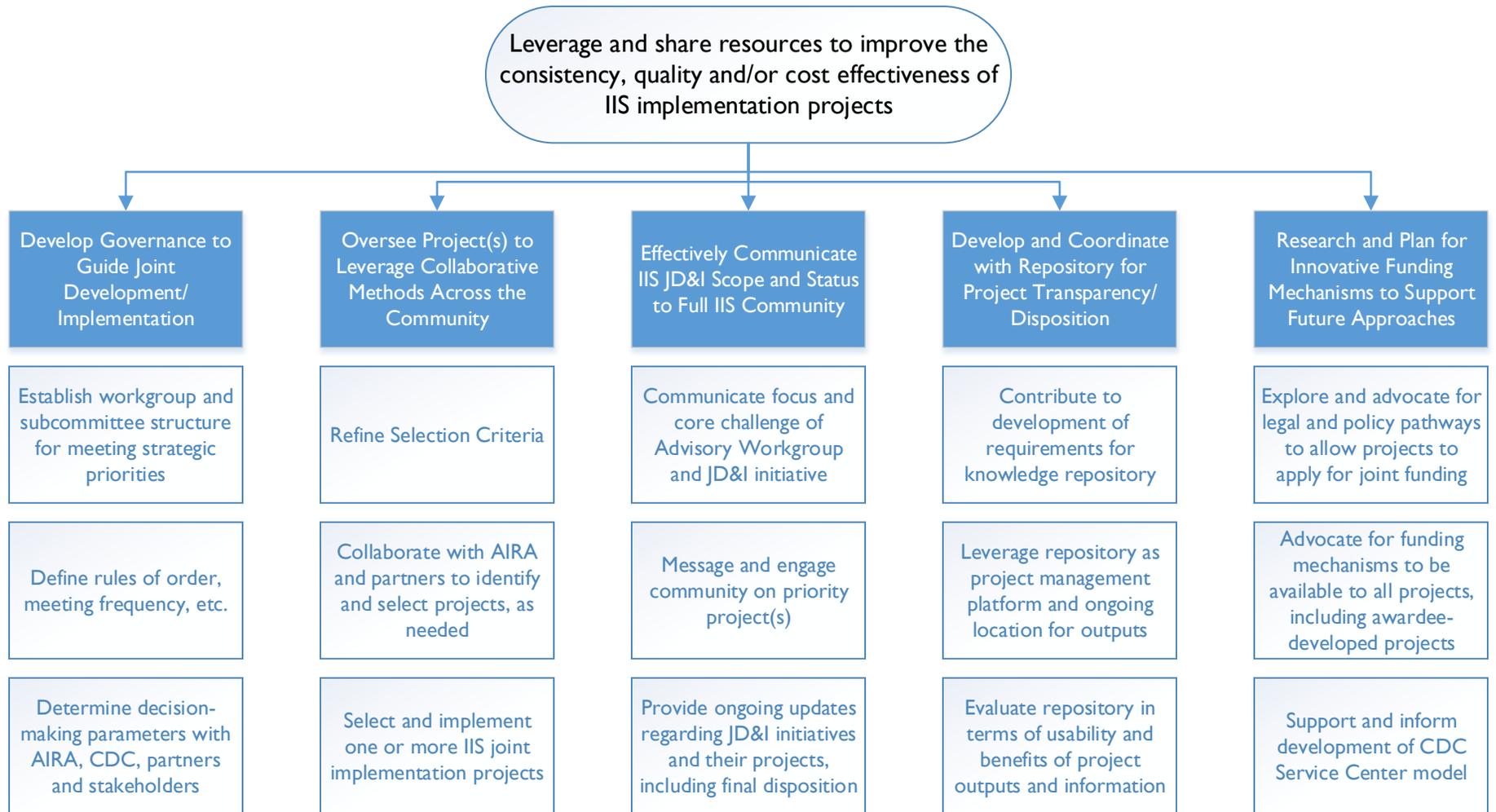
Mary Beth Kurilo, Policy and Planning Director, AIRA

Amanda Branham, Staff Support, AIRA

Maureen Neary, Project Manager, AIRA

Danielle Reader Jolley, Consultant, AIRA

# IIS Joint Development and Implementation (JD&I) Strategy Map VI.0, 2015-2017



Emphasize inclusion of full IIS community

Focus on disposition to maximize availability/uptake

Conduct evaluation activities to assure accomplishment of JD&I priorities